

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Anthony J. GOUNALIS  
Serial No: Unassigned  
Confirmation No: Unassigned  
Filed: Herewith  
For: SYSTEM AND METHOD FOR RECEIVER RESOURCE  
ALLOCATION AND VERIFICATION

Examiner: Unassigned  
Art Unit: Unassigned

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**MAIL STOP Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed within three months of the filing date of a National Application other than a continued prosecution application under 37 C.F.R. §1.53(d). No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Anthony J. GOUNALIS, *Applicant*

By: 

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Docket No. L0562.70042US00  
Date: September 30, 2003  
**X11/18/03X**

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|---|---|----|---|--------------------------------|--|-----------------------------------|--|--|--|
| <b>FORM PTO-1449/A and B (Modified)</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | APPLICATION NO.: Unassigned    |  | ATTY. DOCKET NO.: L0562.70042US00 |  |  |  |
|   |   |    |   | FILING DATE: Herewith          |  | CONFIRMATION NO.: Unassigned      |  |  |  |
|   |   |    |   | APPLICANT: Anthony J. GOUNALIS |  |                                   |  |  |  |
|   |   |    |   | GROUP ART UNIT: Unassigned     |  | EXAMINER: Unassigned              |  |  |  |
| Sheet   | 1 | of | 1 |                                |  |                                   |  |  |  |

#### U.S. PATENT DOCUMENTS

| Examiner's<br>Initials | Cite<br>No. | U.S. Patent Document |              | Name of Patentee or Applicant of Cited<br>Document | Date of Publication or of issue<br>of Cited Document<br>MM-DD-YYYY |
|------------------------|-------------|----------------------|--------------|--|--|
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#### FOREIGN PATENT DOCUMENTS

| Examiner's<br>Initials | Cite<br>No. | Foreign Patent Document |        |              | Name of Patentee or Applicant of Cited<br>Document<br>(not necessary) | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Translation<br>(Y/N) |
|------------------------|-------------|-------------------------|--------|--------------|---|---|----------------------|
|                        |             | Office/<br>Country      | Number | Kind<br>Code |   |   |                      |
|                        |             |                         |        |              |   |   |                      |
|                        |             |                         |        |              |   |   |                      |
|                        |             |                         |        |              |   |   |                      |

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's<br>Initials | Cite<br>No. | Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),<br>publisher, city and/or country where published. | Translation<br>(Y/N) |
|------------------------|-------------|---|----------------------|
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| EXAMINER | DATE CONSIDERED |
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\* Pursuant to new U.S. PTO practice copies of any U.S. Patent are not enclosed.